



Receipt #7  
3-10  
PATENT  
6-7-02

Applicant: DOMINIC W. MASSARO; MICHAEL M. COHEN; JONAS BESKOW  
Serial No.: 09/960,248  
Filed: SEPTEMBER 20, 2001  
Title: VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED  
SPEECH PRODUCTION MODELS  
Group: 2644  
Examiner:  
Docket No.: UC00-275-2

**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**RECEIVED**

MAY 30 2002

**REQUEST FOR CORRECTED FILING RECEIPT**

Technology Center 2600

Dear Sir:

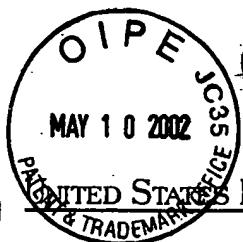
The Applicant respectfully requests a corrected Filing Receipt. The present Updated Filing Receipt (a copy of which is attached as Exhibit A) has the title of the invention in this application incorrect as "VISUAL DISPLAY METHODS FOR IN COMPUTER-ANIMATED SPEECH." As shown on Page 1 of the New Application Transmittal (Exhibit B), on Page 1 of the Combined Declaration and Power of Attorney (Exhibit C), and on Page 1 of the First Preliminary Amendment (Exhibit D) the correct title of the invention in this application is "VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED SPEECH PRODUCTION MODELS." Applicant respectfully requests that a corrected Filing Receipt be issued to reflect the correct title of the invention as "VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED SPEECH PRODUCTION MODELS." Since the correction is not due to an error by the Applicant, no fee is due.

Dated: May 10, 2002.

Respectfully submitted,



John P. O'Banion, Reg. No. 33,201  
O'BANION & RITCHIEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/960,248	09/20/2001	2644	939	UC00-275-2	19	62	6

CONFIRMATION NO. 4329

UPDATED FILING RECEIPT



\*OC000000007743184\*

John P. O'banion  
 O'BANION & RITCHIE LLP  
 400 Capitol Mall, Suite 1550  
 Sacramento, CA 95814

Date Mailed: 03/29/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Dominic W. Massaro, Santa Cruz, CA;  
 Michael M. Cohen, Santa Cruz, CA;  
 Jonas Beskow, Stockholm, SWEDEN;

**Assignment For Published Patent Application**

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA;

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MAY 30 2002

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**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/235,410 09/21/2000

**Foreign Applications****If Required, Foreign Filing License Granted 10/22/2001****Projected Publication Date: 07/04/2002****Non-Publication Request: No****Early Publication Request: No****\*\* SMALL ENTITY \*\*****Title**

Exhibit A

## Visual display methods for in computer-animated speech

## Preliminary Class

704

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Attorney's Docket No. UC00-275-2

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Box Patent Application**

**Assistant Commissioner for Patents**

**Washington, D.C. 20231**

**RECEIVED**

**MAY 30 2002**

**Technology Center 2600**

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of Inventor(s):

DOMINIC W. MASSARO; JONAS BESKOW; MICHAEL M. COHEN; RONALD A. COLE

For (title):

**VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED SPEECH  
PRODUCTION MODELS**

**1. Type of Application**

This new application is for a(n):

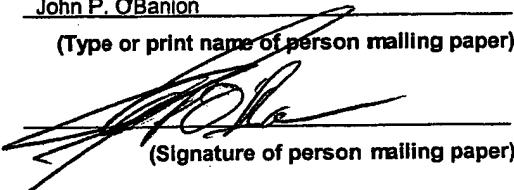
- Original (nonprovisional)
- Design
- Plant
- Divisional
- Continuation
- Continuation of PCT designating US
- Continuation-in-part (CIP)

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date September 20, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL645677053US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

John P. O'Banion

(Type or print name of person mailing paper)

  
(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein has the number of the "Express Mail" label placed thereon prior to mailing. 37 CFR 1.10(b).

**EL645677053US**

PATENT

Applicant: DOMINIC W. MASSARO; MICHAEL M. COHEN; JONAS BESKOW  
Serial No.: 09/960,248  
Filed: SEPTEMBER 20, 2001  
Title: VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED SPEECH  
PRODUCTION MODELS  
Group:  
Examiner:  
Docket No. UC2000-275-2

**COMBINED DECLARATION AND POWER OF ATTORNEY**

*(ORIGINAL, DESIGN, SUPPLEMENTAL, NATIONAL STAGE OF PCT, DIVISIONAL,  
CONTINUATION OR CONTINUATION-IN-PART)*

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As a below named inventor, I hereby declare that:

**TYPE OF DECLARATION**

This declaration is of the following type:

original (regular)  
 design  
 supplemental-continuation of PCT Application in the U.S.

NOTE: If the declaration is for an International Application being filed as a divisional, continuation, or continuation-in-part, do not check the next item; check appropriate one of last three items.

national stage of PCT  
 divisional  
 continuation  
 continuation-in-part

**INVENTORSHIP IDENTIFICATION**

WARNING: If the inventors are each not the inventors of all of the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention described in

Exhibit C



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: DOMINIC W. MASSARO; MICHAEL M. COHEN; JONAS BESKOW

Serial No.: 09/960,248

Filed: SEPTEMBER 20, 2001

Title: VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED  
SPEECH PRODUCTION MODELS

Group:

Examiner:

Docket No. UC2000-275-2

**Assistant Commissioner for Patents**

Washington, D.C. 20231

**FIRST PRELIMINARY AMENDMENT**

**RECEIVED**

**IN THE CLAIMS:**

MAY 30 2002

Please cancel Claims 9-15 and 19 without prejudice or disclaimer.

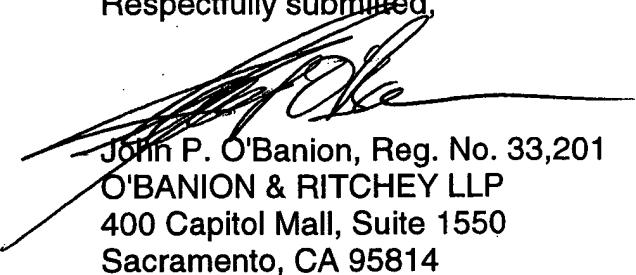
Technology Center 2600

**REMARKS**

This First Preliminary Amendment is respectfully submitted to delete Claims 9-15 and 19, without prejudice or disclaimer to filing a continuation application directed to those claims. The above-named inventors comprise the sole inventive entity for the remaining pending claims. A first-filed declaration is enclosed.

Date: February 25, 2002

Respectfully submitted,

  
John P. O'Banion, Reg. No. 33,201  
O'BANION & RITCHIE LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): DOMINIC W. MASSARO ET AL.

Docket No.

UC00-275-2

Serial No.  
09/960,248Filing Date  
SEPTEMBER 20, 2001

Examiner

Group Art Unit

Invention: VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED SPEECH PRODUCTION MODELS

**RECEIVED**

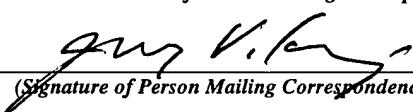
MAY 30 2002

Technology Center 2600

I hereby certify that the following correspondence:

**Request for Corrected Filing Receipt with Exhibits A, B, C & D (Page 1 thru 7)***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

May 10, 2002*(Date)*Jerry V. King*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EL737163920US*("Express Mail" Mailing Label Number)*

Note: Each paper must have its own certificate of mailing.



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CONFIRMATION NO. 4329



Bib Data Sheet

SERIAL NUMBER 09/960,248	FILING DATE 09/20/2001 RULE	CLASS 704	GROUP ART UNIT 2654	ATTORNEY DOCKET NO. UC00-275-2
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## APPLICANTS

Dominic W. Massaro, Santa Cruz, CA;  
 Michael M. Cohen, Santa Cruz, CA;  
 Jonas Beskow, Stockholm, SWEDEN;

## \*\* CONTINUING DATA \*\*\*\*

THIS APPLN CLAIMS BENEFIT OF 60/235,410 09/21/2000

## \*\* FOREIGN APPLICATIONS \*\*\*\*

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\*

\*\* 10/22/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no		
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance		
Verified and Acknowledged	Examiner's Signature _____ Initials _____		
STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
CA	19	62	6

## ADDRESS

John P. O'banion  
 O'BANION & RITCHIE LLP  
 400 Capitol Mall, Suite 1550  
 Sacramento ,CA 95814

## TITLE

Visual display methods for in computer-animated speech production models

FILING FEE RECEIVED 939	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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